

A suite of Anti-Money Laundering checks to give you greater protection

Robust customer due diligence processes are essential to meeting your anti-money laundering obligations. This is why we have partnered with leading companies in their field to bring you our service. Going beyond a one size fits all electronic AML check, we provide a range of searches for individuals and companies.

AMLLite

For certain customers a simplified check is all that is needed, just to ensure they are not on a government sanctions list or a politically exposed person. This could be because they have given physical ID, are a minor beneficial owner or a regulated business. Our *AMLLite* solution provides you with just what you need, the ability to carry out a sanctions and PEP check. All the details of any potential matches are provided enabling you to quickly review and record your decisions.

AMLGuard

Our standard AML checks. For an individual resident in the UK, we verify their identity using our links to Experian. Checking the supplied details against a database of records larger than any other provider of these services. Data comes from the full electoral roll, current accounts, mortgages, cards, rental agreements, CCJs, communications, utilities, etc. and is continually expanding ensuring a comprehensive breadth, depth and quality of data.

The process assesses the footprint of the individual and given this meets the requirement of the Money Laundering Regulations, an authenticated response will be received. Checks are also carried out to look for data inconsistencies and whether the individual has been registered as deceased, both of which are indicators of potential fraud.

For UK based companies, we again utilise our links with Experian to verify the existence of the company. We then provide you with key details and a list of directors, secretaries, shareholders, parent companies and subsidiaries.

Once the results are returned, you can then directly trigger any required checks against the related parties. This enables you to perform a complete AML check on a business, quickly and all in one place.

All *AMLGuard* checks also include a search to identify if the individual or company is subject to financial sanctions or politically exposed.

AMLPlus

When you are looking to take your AML checking a step further. Maybe the customer or product is a higher risk to your business. This is where *AMLPlus* comes in. Consisting of all the elements of our *AMLGuard* service and then taken a step further.

Upon completion of the verification and sanctions and PEP screening, the individual or company is screened against an adverse media database. All packaged together in one request, no need to rekey data and results presented for easy review.

Data Partners



AMLEnhanced

From time to time certain situations are going to require you to take your customer due diligence further still. Once our systems have undertaken the verification, sanctions and PEPs checks and adverse media search the request will be routed to one of our analysts.

Using a pre-agreed process, the analyst will undertake structured open source research to identify adverse information that may not be present in the negative media database, or has only recently come to light.

Case Management

Where a check requires some additional work to complete your anti-money laundering obligations it is good to have a full audit trail. To help simplify this we provide you with a straightforward case management function. This allows you to review potential matches to the sanctions, politically exposed person or adverse media database and store the results of decisions made. The facility to add attachments and notes enables all information to be recorded.

Data Security

We make it a priority to take your data security and privacy concerns seriously. The service is ISO27001 certified and designed to ensure information is kept secure at all times.

About ComplianceAssist

Founded in 2009, ComplianceAssist is a specialist provider of customer screening and due diligence services for business. Our aim is to provide a straightforward solution that will help limit the impact of screening on our clients.

Working with market leaders, we supply access to some of the best data and services available. We continually work to improve the services offered to ensure we are able to meet the ever changing challenges our clients face.

With a team of trained analysts, we are able to provide an extra level of support. The initial review of potential matches also helps minimise the impact on our clients.

Some of Our Clients



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